

SPECIFIC PRIVACY STATEMENT

IMI JU

Innovative Medicines Initiative Joint Undertaking - Proposals Evaluation and Grants Management

This statement concerns the processing operation called "Innovative Medicines Initiative Joint Undertaking - Proposals Evaluation and Grants Management". This processing requires the handling of personal data, and is therefore subject to Regulation (EC) No 45/2001¹.

1. What personal information do we collect, for what purpose and by what means?

The IMI JU collects and process a certain amount of personal data related to the applicant consortia (Contact persons, Legal representatives, and scientific team members), in order to evaluate projects proposals, negotiate them, award funding and manage grant agreements, and this by means of local and centralized applications.

The following categories of data are collected and processed:

- Information on the applicants legal entities (including legal representative, contact person and responsible for scientific aspects):

- Identification data: name, title, gender, nationality, contact address, phone, fax, email
- No special categories of data like offences (criminal records) or health data are processed by the IMI JU.

2. Who has access to your information and to whom is it disclosed?

In line with the EU 7th Framework Programme, data of applicant consortia are disclosed to:

- Internal authorized IMI JU, European Commission and EFPIA representatives staff, independent experts and contractors who are working on behalf of the IMI JU for the purposes of proposal evaluation and for selected projects under a grant agreement, to manage the operational and financial aspects of research projects.
- Other structures associated with the IMI JU, such as the IMI JU Governance and advisory bodies, other European Institutions.
- Public: for projects under a grant agreement, a limited subset of data (e.g. all the contact details of the participants, total budget of the project) may be published on the IMI JU/CORDIS website/Europa/other dedicated Internet webpage/paper in order to provide the necessary information to facilitate contacts between interested parties and the research consortium, and may be printed for further dissemination.
- Contractors, Participants and Beneficiaries cooperating with the IMI JU may access and process the personal data of applicant consortia upon their unambiguous consent for purposes related to monitoring, study and evaluation foreseen by the IMI JU research activities.

In addition, if the project coordinator, or any participant in a IMI project gives prior and unambiguous consent, information such as picture, age, nationality and short curriculum vitae may be published on paper and Internet.

With regards the specificities of IMI JU, hereby the details:

Evaluation Phase - The recipients of this data are mainly the staff of the IMI JU, the experts of the evaluation panel and other experts involved in evaluation. In addition, in particular cases, certain information may be disclosed to:

- The IMI Governing Board, for approval of the final ranked list of projects;

- The IMI States Representatives Group, and the IMI Scientific Committee, for information on the proposals selected for funding.

Preparation and Management Phases – The information about participants (including legal representative, contact person and responsible for scientific aspects) can be used for the implementation and the follow-up of the IMI Grant Agreements and the evaluation and impact assessment of activities of the European Union.

Hereby the detailed listings:

(i) The batch of data which might be accessed and transferred to the third parties above mentioned is the following:

Last name, First name;
Title, Gender;
Nationality;
Country where the applicant is legally resident;
Department/Faculty/Institute/Laboratory name;
Phone, Mobile phone;
E-mail, Fax;
Address, if different from organization address

NB: Contractors and participants cooperating with the IMI JU might access the complete batch of personal data of applicants and principal investigators for purposes related to monitoring, study and evaluation foreseen by the IMI JU research activities. Confidentiality and security obligations are defined by the pertinent contract or grant agreement.

(ii) The batch of data relating to all selected proposal/funded projects that usually is published on IMI JU/Cordis/Participant Portal/Europa/other dedicated Internet website/paper publications is the following:

- Project summaries
- Contacts details of participants (e.g. names, phone, fax, e-mail address, location, country, etc)

(iii) And if the project coordinator/managing entity or any other participant in a IMI project agrees unambiguously, the following data may be published on paper and Internet for communication purposes (and further processed for statistics/study/programme evaluation purposes by contractors or participants of a IMI project):

- His/her short curriculum vitae (professional contact details, education and work experience)
- His/her picture
- His/her nationality (for statistical and communication purposes - e.g. the analysis of the mobility of researchers)
- His/her age (for statistical and communication purposes - e.g. the analysis of the participation of young/elder researchers)

(iv) Further personal data may be collected and further processed concerning the scientific staff members of a project team of a participant (i.e. the entity which signed the grant agreement), only upon unambiguous and informed consent of each concerned data subject, and for anonymous statistical studies, impact evaluation of the IMI programme, or improvement of the funding schemes for researchers. The data that may be collected are the following ones:

- Last name, First name
- Gender
- Nationality
- Staff category

- Year of birth
- Information on the latest higher education (degree, subject area, institution, year of award, country)
- Information on the latest post doctorate of professional station (activity, start and end dates, institution/organisation, country)
- Employment period within the funded project (start and end dates);
- Completion of the doctorate within the project (month, year, subject area).

NB: The prior agreement of each concerned data subject shall be documented by the concerned participant (i.e. the entity which signed the grant agreement) according to the model of declaration annexed, to be filled in and signed by the data subject, and kept at disposal of the IMI JU by the participant for any possible verification (hereby enclosed).

In addition, some personal data may be disclosed, in compliance with the relevant current legislation and established case law, and on a temporary basis to: (a) the General Court or the Court of Justice, at their request; (b) the Ombudsman, at his request; (c) the European Data Protection Supervisor, at his request; (d) the audit and control bodies such as OLAF, Court of Auditors, IMI Internal Audit capability, the Internal Audit Service.

3. How do we protect and safeguard your information?

Organizational measures applied to the various agents having access to the information stored in the database include appropriate specific access rights and controls.

All the information are stored in a database that resides on the servers of the IMI JU the operations of which abide by the IMI JU's security decisions and provisions established for this kind of servers and services.

4. How can you access, verify, modify or delete your data?

If you want to check, modify, correct or delete any personal data, you should apply to the IMI JU Executive Director (the Controller) or to the IMI JU Head of Administration and Finance (the Co-Controller) who is responsible for the processing of data, by sending an e-mail giving details of your request to the following mailbox infodesk@imi.europa.eu.

5. How long do we keep your data?

For applicants retained for grant agreements, personal data (on papers and registered in data bases) are kept as required by the EC Common Retention List (SEC(2007)970) applied at IMI, 10 years after the end of the project. For applicants which are not granted, personal data are kept for 3 years and eliminated after this period.

6. Contact for information

If you would like to receive further information, you can contact in written the responsible persons (indicated in point 4 above) via the dedicated functional mailbox made available on the IMI JU website infodesk@imi.europa.eu.

The IMI JU Data Protection Officer, Ms Estefania RIBEIRO, is at your disposal for any clarification you might need on your rights under Regulation 45/2001:

7. Right of Recourse

You have the right to have recourse to the European Data Protection Supervisor (edps@edps.eu) if you consider that your rights under Regulation 45/2001 have been infringed as a result of the processing of your personal data by the IMI JU.

8. Legal basis

Council Regulation (EC) n° 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines

- ¹ Regulation (EC) 45/2001 of the European Parliament and of the Council on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data, OJ L 8, 12.1.2001, p. 1.

ANNEX (mentioned in point 2 of the Specific Privacy Statement)

Declaration of agreement on the provision of personal data

Project identification:

I undersigned.....

Employed by: *(name of the project participant)*

Declare hereby that:

- I have read and understood the provisions described in the relevant "Service Specific Privacy Statement" regarding the processing operations of my personal data;
- I agree that my following personal data are collected by the Controller (*IMI JU*) and further processed for anonymous statistical studies, impact evaluation of the programme, or improvement of the funding schemes for researchers:
 - o Last name, First name
 - o Gender
 - o Nationality
 - o Staff category
 - o Year of birth
 - o Information on the latest higher education (*degree, subject area, institution, year of award, country*)
 - o Information on the latest post doctorate of professional station (*activity, start and end dates, institution/organisation, country*)
 - o Employment period within the funded project (*start and end dates*)
 - o Completion of the doctorate within the project (*month, year, subject area*).

Done on...(date) at... *(city, country)*

(name and signature preceded by the mention "read, understood and approved").